

ETP Liquidation Watch List - November 2013

TKR	Name	Asset Class	Category	AUM	Inception	1Y Perf
AGF	Powershares DB Agriculture Long ETN	Commodities	Agriculture	3,719,540	4/15/2008	-27.61%
AXMT	iShares MSCI ACWI ex US Materials Sector Index Fund ETF	Equity	Sector	2,497,000	7/20/2010	-3.24%
BDD	Powershares DB Base Metals Double Long ETN	Commodities	Industrial Metals	3,361,500	6/17/2008	-12.02%
BDG	Powershares DB Base Metals Long ETN	Commodities	Industrial Metals	1,176,600	6/17/2008	-22.18%
BLNG	iPath Pure Beta Precious Metals ETN	Commodities	Precious Metals	1,875,577	4/20/2011	-24.20%
BOM	Powershares DB Base Metals Double Short ETN	Commodities	Industrial Metals	3,967,740	6/17/2008	-0.29%
BOS	Powershares DB Base Metals Short ETN	Commodities	Industrial Metals	2,550,000	6/17/2008	-21.54%
BRAF	Global X Brazil Financials ETF	Equity	Sector	2,418,000	7/29/2010	-3.48%
BTAL	QuantShares US Market Neutral Anti-Beta Fund ETF	Equity	Strategy	3,928,020	9/13/2011	-14.60%
BXDB	Barclays ETN+SHORT B S&P 500	Equity	Size and Style	2,283,379	11/17/2009	-53.64%
CAFE	iPath Pure Beta Coffee ETN	Commodities	Agriculture	4,668,816	4/20/2011	-39.23%
CANE	Teucrium CANE Fund ETF	Commodities	Agriculture	2,665,311	9/19/2011	-12.34%
CHIM	Global X China Materials ETF	Equity	Sector	2,267,562	1/14/2010	-3.08%
COLX	Market Vector Colombia ETF	Equity	Broad Equity	3,860,000	3/15/2011	-1.08%
CUPM	iPath Pure Beta Copper ETN	Commodities	Industrial Metals	774,860	4/20/2011	-13.35%
CVOL	C-Tracks Linked to the CVOLT Index ETN	Multi Asset	Absolute Returns	3,437,324	11/15/2010	-85.69%
DBBR	db X-trackers MSCI Brazil Currency-Hedged Equity Fund ETF	Equity	Broad Equity	3,652,954	6/9/2011	-12.27%
DDG	ProShares Short Oil & Gas ETF	Equity	Sector	3,825,000	6/12/2008	-20.51%
DDP	Powershares DB Commodity Short ETN	Commodities	Broad Commodities	4,661,760	4/29/2008	-30.38%
DFVL	iPath US TREASURY 5-YR BULL	Fixed Income	U.S. Government	461,600	7/11/2011	-5.16%
DFVS	iPath US TREASURY 5 YR BEAR	Fixed Income	U.S. Government	2,813,525	7/11/2011	-0.03%
DIRT	iPath Pure Beta Agriculture ETN	Commodities	Agriculture	3,290,720	4/20/2011	-16.67%
DLBL	iPath US Treasury Long Bond Bull ETN	Fixed Income	U.S. Government	1,591,811	8/9/2010	-6.47%
DPU	Powershares DB Commodity Long ETN	Commodities	Broad Commodities	2,505,800	4/29/2008	-22.86%
EMSA	iPath Short Enhanced MSCI Emerging Markets Index ETN	Equity	Broad Equity	4,596,520	11/30/2010	-25.69%
FLAT	iPath US Treasury Flatteners ETN	Fixed Income	Spreads	4,822,889	8/9/2010	-5.17%
FOIL	iPath Pure Beta Aluminum ETN	Commodities	Industrial Metals	1,393,213	4/20/2011	-10.95%
FOL	FactorShares 2X: Oil Bull/S&P500 Bear ETF	Multi Asset	Absolute Returns	1,010,202	2/23/2011	-44.35%
FSA	FactorShares 2X: TBond Bull/S&P500 Bear ETF	Multi Asset	Absolute Returns	756,402	2/23/2011	-52.11%
FSG	FactorShares 2X: Gold Bull/S&P500 Bear ETF	Multi Asset	Absolute Returns	2,700,270	2/23/2011	-71.47%
FUE	ELEMENTS SM Linked to the MLCX Biofuels Index ETN	Commodities	Agriculture	1,045,000	2/6/2008	-13.71%
GBB	iPath GBP/USD Exchange Rate ETN	Currency	Pound Sterling	2,580,000	5/2/2007	-1.42%
GGGG	Global X Pure Gold Miners ETF	Equity	Sector	3,653,513	3/15/2011	-47.09%
GRN	iPath Global Carbon ETN	Commodities	Energy	1,007,961	6/24/2008	-39.65%
GRWN	iPath Pure Beta Softs ETN	Commodities	Agriculture	797,504	4/20/2011	-13.02%
HEVY	iPath Pure Beta Industrial Metals ETN	Commodities	Industrial Metals	539,913	4/20/2011	-16.24%

IGS	ProShares Short Investment Grade Corporate ETF	Fixed Income	Corporate	3,212,000	3/29/2011	-0.65%
IGU	ProShares Ultra Investment Grade Corporate Bond ETF	Fixed Income	Corporate	2,638,000	4/14/2011	-6.24%
JEM	Barclays GEMS INDEX ETN	Currency	Basket	872,808	2/1/2008	-2.22%
JJU	iPath Dow Jones-UBS Aluminum Subindex Total Return ETN	Commodities	Industrial Metals	2,662,164	6/24/2008	-11.77%
JPX	ProShares UltraShort MSCI Pacific ex-Japan ETF	Equity	Broad Equity	1,159,314	6/23/2009	-30.01%
JUNR	Global X Junior Miners ETF	Equity	Sector	4,706,817	3/17/2011	-47.60%
JYN	iPath JPY/USD Exchange Rate ETN	Currency	Japanese Yen	1,858,226	5/2/2007	-20.50%
KRS	ProShares Short KBW Regional Banking ETF	Equity	Sector	1,543,500	4/22/2010	-30.30%
LEDD	iPath Pure Beta Lead ETN	Commodities	Industrial Metals	986,000	4/20/2011	-0.15%
LPLT	VelocityShares 2x Long Platinum ETN	Commodities	Precious Metals	2,571,100	10/14/2011	-18.83%
MOM	QuantShares U.S. Market Neutral Momentum Fund ETF	Equity	Strategy	1,243,025	9/7/2011	-1.98%
NAGS	Teucrium Natural Gas Fund ETF	Commodities	Energy	3,171,042	2/1/2011	-16.21%
NINI	iPath Pure Beta Nickel ETN	Commodities	Industrial Metals	3,066,302	4/20/2011	-18.21%
RRF	WisdomTree Global Real Return Fund ETF	Multi Asset	Absolute Returns	4,455,000	7/14/2011	-6.07%
RXD	ProShares UltraShort Health Care ETF	Equity	Sector	2,681,730	2/1/2007	-48.27%
SBM	ProShares Short Basic Materials ETF	Equity	Sector	2,877,600	3/18/2010	-18.36%
SBV	iPath Pure Beta S&P GSCI-Weighted ETN	Commodities	Broad Commodities	1,306,340	4/20/2011	-0.21%
SCC	ProShares UltraShort Consumer Services ETF	Equity	Sector	4,569,358	2/1/2007	-50.28%
SDD	ProShares UltraShort SmallCap600 ETF	Equity	Size and Style	4,318,033	1/25/2007	-53.59%
SDK	ProShares UltraShort Russell MidCap Growth ETF	Equity	Size and Style	1,006,954	2/26/2007	-48.31%
SDP	ProShares UltraShort Utilities ETF	Equity	Sector	3,590,200	2/1/2007	-26.32%
SFK	ProShares UltraShort Russell1000 Growth ETF	Equity	Size and Style	3,523,967	2/22/2007	-43.64%
SGAR	iPath Pure Beta Sugar ETN	Commodities	Agriculture	2,507,715	4/20/2011	-11.60%
SIJ	ProShares UltraShort Industrials ETF	Equity	Sector	3,718,374	2/1/2007	-50.77%
SJF	ProShares UltraShort Russell1000 Value ETF	Equity	Size and Style	864,710	2/27/2007	-42.55%
SJH	ProShares UltraShort Russell2000 Value ETF	Equity	Size and Style	2,074,209	2/26/2007	-50.67%
SJL	ProShares UltraShort Russell MidCap Value ETF	Equity	Size and Style	696,306	3/1/2007	-46.82%
SKK	ProShares UltraShort Russell2000 Growth ETF	Equity	Size and Style	4,181,133	2/22/2007	-54.69%
SMK	ProShares UltraShort MSCI Mexico Investable Market ETF	Equity	Broad Equity	1,195,665	6/18/2009	-15.95%
SZK	ProShares UltraShort Consumer Goods ETF	Equity	Sector	4,151,912	2/1/2007	-44.24%
TBZ	ProShares UltraShort 3-7 Year Treasury ETF	Fixed Income	U.S. Government	3,972,500	4/4/2011	-1.40%
TLL	ProShares UltraShort Telecommunications ETF	Equity	Sector	1,041,409	4/1/2008	-41.43%
TOTS	Direxion Daily Total Market Bear 1X Shares ETF	Equity	Broad Equity	2,390,024	6/15/2011	-25.02%
TVIZ	VelocityShares Daily 2X VIX Medium-Term ETN	Multi Asset	Absolute Returns	3,049,289	11/29/2010	-69.49%
TWQ	ProShares UltraShort Russell3000 ETF	Equity	Broad Equity	805,558	7/2/2009	-43.89%
TYD	Direxion Daily 10-Year Treasury Bull 3x Shares ETF	Fixed Income	U.S. Government	3,849,800	4/16/2009	-8.48%