



## ETF Issuer Public Disclosure Data U.S. Listed - 2023

ETF Global<sup>®</sup> is a leading, independent provider of Exchange-Traded Fund Reference Data, which is primarily sourced directly from fund sponsors, custodians, distributors and administrators.

### Dataset Overview

The **ETF Global<sup>®</sup> ETF Issuer Public Disclosure Dataset** is a turnkey solution to satisfy the disclosure requirements from the SEC's recently enacted ETF Rule. This dataset consists of two, daily data files dedicated to the Fund level and Constituent level, respectively.

The SEC Rule 6c-11, passed on September 25, 2019, now requires every ETF Issuer to disclose the following unique data points, on a free and publicly available website, each business day before the opening of trading:

- Each portfolio holding (ticker symbol, identifier, description, quantity and percentage weight)
- The ETF's **NAV, market closing price and premium/discount**, as of the prior business day
- The ETF's **median bid-ask spread** during the last 30 calendar days
- A table and line graph for the **number of days the ETF's shares traded at a premium or discount** during last calendar year and during the most recently completed calendar quarter
- If the ETF's **premium or discount has been greater than 2% for seven consecutive trading days**, provide a discussion of the factors that may have materially contributed to it

ETF Global delivers these data points and much more daily via FTP, SFTP, API & S3.

For more information including pricing and customized feeds, please contact:

**ETF Global Data Sales Team | (212) 223-3834 | [sales@etfg.com](mailto:sales@etfg.com)**

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ETF Level Data		Type
ETFG Date	File Date	Date
As Of Date	Trade, Effective or Rate Date	Date
Ticker	Ticker of the product	Varchar
Description	The name of the Fund or Entity Name	Varchar
Related Index	Underlying Index as per the prospectus	Varchar
Fund Unique Identifier	Unique identifier for underlying constituents i.e. FIGI	Varchar
IOPV Symbol	Symbol for Indicative Optimized Portfolio Value	Varchar
ETF Issuer	Issuing firm of the product	Varchar
Inception Date	Inception date of the product	Date
Number of Holdings in ETF	Number of Holdings	Integer
Fund Listing Exchange	Listing Exchange of the product	Varchar
Market Price	"As of" date adjusted close market price of fund	Float
NAV	Net Asset Value	Float
Discount/Premium	Discount/Premium	Float
Fund Shares Outstanding	Number of shares outstanding for Fund	Bigint
Fund Gross Expense Ratio	Total Expenses of Fund as Percentage of NAV	Float
30 Day Median Bid-Ask	Rolling 30 Day Average of daily, median bid-ask spread	Float
Premium/Discount Days-Quarter	Days ETF traded at Premium/Discount - most recent calendar quarter	Float
Premium/Discount Days-Year	Days ETF traded at Premium/Discount - most recent calendar Year	Float
2% Premium/Discount- # of Days	# of consecutive days ETF traded at 2% or greater Premium/Discount	Float
2% Premium/Discount-5 Days	ETF traded at 2% or greater Premium/Discount for 5 or more consecutive days	Boolean
2% Premium/Discount-6 Days	ETF traded at 2% or greater Premium/Discount for 6 or more consecutive days	Boolean
2% Premium/Discount-7 Days	ETF traded at 2% or greater Premium/Discount for 7 or more consecutive days	Boolean
ETF Global Reward Score	ETFG Green Diamond Reward Score	Float
ETF Global Risk Score	ETFG Red Diamond Risk Score	Float
Asset Class	ETF Global Taxonomy - Asset Class product primarily holds	Varchar
Category	ETF Global Taxonomy - Broad category description	Varchar
Focus	ETF Global Taxonomy - Specific exposure description	Varchar
Development Class	ETF Global Taxonomy - Developmental Class	Varchar
Region	ETF Global Taxonomy - Regional focus	Varchar
Geographic Exposure	ETF Global Taxonomy - Geographic Breakdown	Varchar
Currency Exposure	ETF Global Taxonomy - Currency Breakdown	Varchar
Sector Exposure	ETF Global Taxonomy - Sector Breakdown	Varchar
Industry Group Exposure	ETF Global Taxonomy - Industry Group Breakdown	Varchar
Industry Exposure	ETF Global Taxonomy - Industry Breakdown	Varchar
Sub-Industry Exposure	ETF Global Taxonomy - Sub-Industry breakdown	Varchar
NBBO Last Best Bid	Day's last NBBO Best Bid	Float
NBBO Last Best Offer	Day's last NBBO Best Offer	Float
NBBO Last Midpoint	Day's last NBBO Best Bid Best Offer Midpoint	Float
NBBO Last Timestamp	Timestamp of day's last NBBO Best Bid Best Offer Midpoint	Timestamp
Premium Days-Last Cal Quarter	Days ETF traded at Premium - most recent calendar quarter	Smallint
Discount Days-Last Cal Quarter	Days ETF traded at Discount - most recent calendar quarter	Smallint
Premium/Discount Days Last Cal Quarter	Days ETF traded at Premium/Discount - most recent calendar quarter	Smallint
Premium Days-Last Cal Year	Days ETF traded at Premium - most recent calendar year	Smallint
Discount Days-Last Cal Year	Days ETF traded at Discount - most recent calendar year	Smallint
Premium/Discount Days Last Cal Year	Days ETF traded at Premium/Discount - most recent calendar year	Smallint
Premium Days-Current Cal Quarter	Days ETF traded at Premium - current calendar quarter	Smallint
Discount Days-Current Cal Q Quarter	Days ETF traded at Discount - current calendar quarter	Smallint
Premium/Discount Days Current Quarter	Days ETF traded at Premium/Discount - current calendar quarter	Smallint
Premium Days-Current Cal Year	Days ETF traded at Premium - current calendar year	Smallint
Discount Days-Current Cal Year	Days ETF traded at Discount - current calendar year	Smallint
Premium/Discount Days Current Year	Days ETF traded at Premium/Discount - current calendar year	Smallint
Discount/Premium in Currency	Discount or premium expressed as actual value in currency	Float
Discount/Premium Currency	ISO 4217 code for currency value from previous field	Varchar
2% Premium/Discount for 7+ Days in Last 365 Days	2% or greater P/D for 7 or more consecutive days during last 365 days	Boolean

## ETF Issuer Public Data Disclosure

ETF Constituent Level		Type
ETF Date	File Date	Date
As Of Date	"As Of", Trade, Effective or Rate Date	Date
ETF Ticker	Ticker of the product	Varchar
Constituent Ticker	Ticker of the underlying constituent	Varchar
Constituent Description	Name the underlying constituent	Varchar
Constituent Weight	Weight of the underlying constituent	Float
Constituent Market Value	Market Value of Underlying Constituents	Float
Constituent Unique Identifier	Unique identifier for underlying constituents i.e. FIGI	Varchar
Shares Held of Constituent	Number of shares of underlying constituent held by the ETP	Float

\* Bold indicates required to be disclosed, on a free and publicly available website, each business day before the opening of trading as per SEC Rule 6c-11.

For more information including pricing and customized feeds, please contact:

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